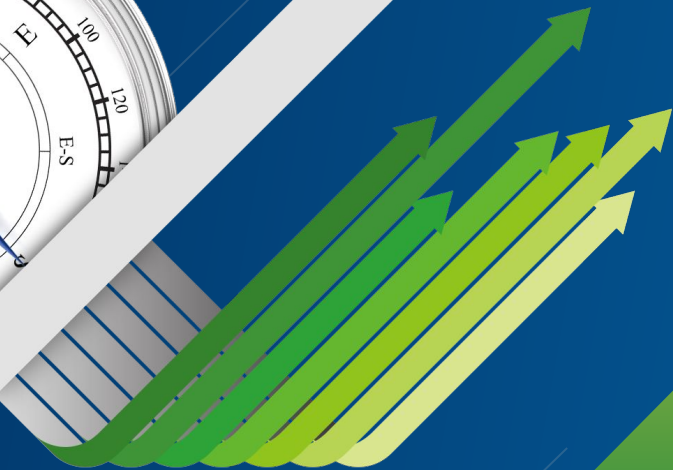




# Introducing Indirect Features

Training Webinar

5/26/2022



## Training Webinar Agenda

- **What's Indirect and what does it include**
  - Due Diligence Templates
  - Questionnaires
- **What are the benefits to you**
- **Tour of Due Diligence Templates**
  - Where to find your existing template
  - Making changes to the 'Default Template'
- **Tour of Questionnaires**

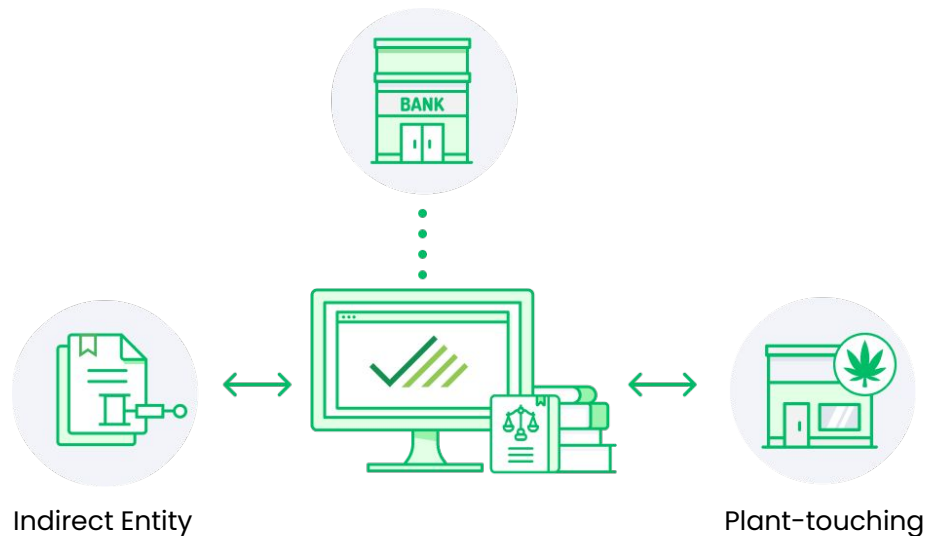
## Indirect, Ancillary, Hemp, and CBD

### What is Indirect and what are the benefits?

It expands core functionality to enable Indirect CRB oversight, and also allows you to fully customize your cannabis banking program.

#### Key Themes:

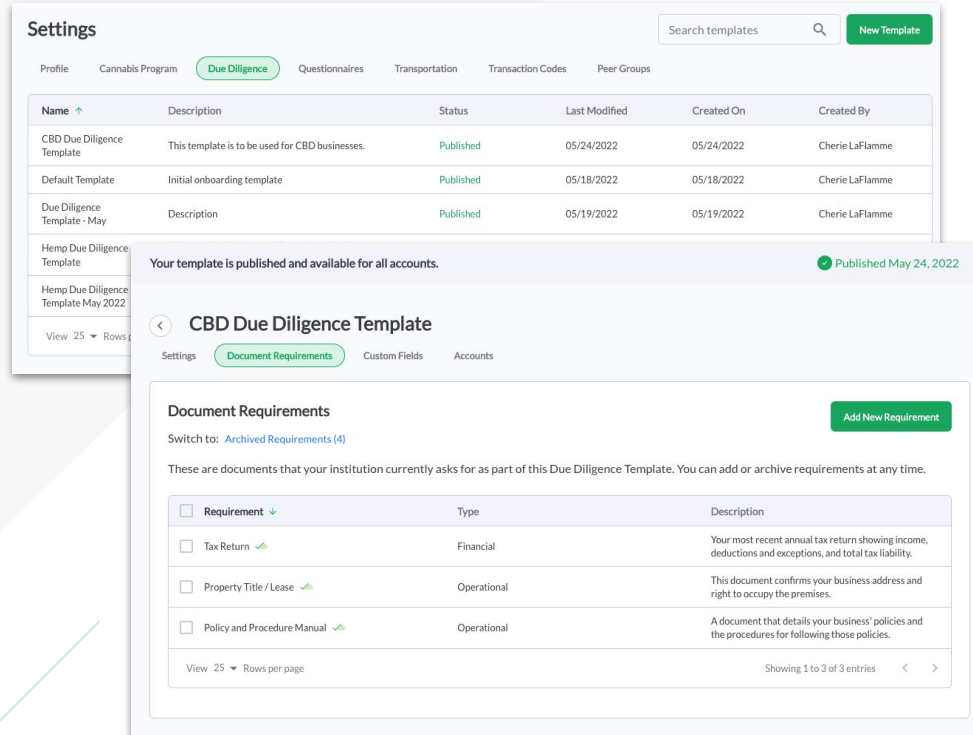
- Consolidated program management
- Customize initial due diligence
- Standardize recurring/ad hoc requests for information



## Due Diligence Templates

# Initial Due Diligence

- Create and manage multiple **Due Diligence Templates**
- Assign the appropriate due diligence template when **inviting a new account** to apply
- All FIs should have at least one existing Due Diligence Template called **'Default Template'**



**Settings** Search templates New Template

Profile Cannabis Program **Due Diligence** Questionnaires Transportation Transaction Codes Peer Groups

Name ↑	Description	Status	Last Modified	Created On	Created By
CBD Due Diligence Template	This template is to be used for CBD businesses.	Published	05/24/2022	05/24/2022	Cherie LaFlamme
Default Template	Initial onboarding template	Published	05/18/2022	05/18/2022	Cherie LaFlamme
Due Diligence Template - May	Description	Published	05/19/2022	05/19/2022	Cherie LaFlamme
Hemp Due Diligence Template					
Hemp Due Diligence Template May 2022					

View 25 Rows

Your template is published and available for all accounts. Published May 24, 2022

### CBD Due Diligence Template

Settings **Document Requirements** Custom Fields Accounts

**Document Requirements** Add New Requirement

Switch to: [Archived Requirements \(4\)](#)

These are documents that your institution currently asks for as part of this Due Diligence Template. You can add or archive requirements at any time.

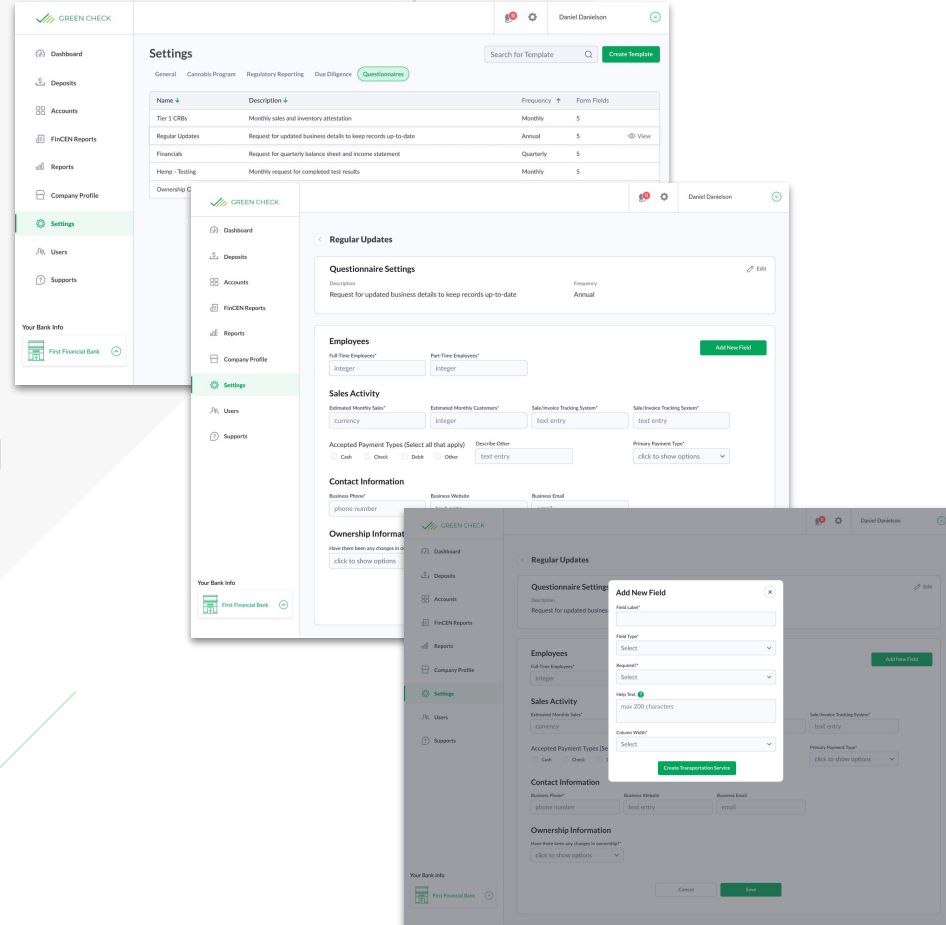
<input type="checkbox"/> Requirement ↓	Type	Description
<input type="checkbox"/> Tax Return ✓	Financial	Your most recent annual tax return showing income, deductions and exceptions, and total tax liability.
<input type="checkbox"/> Property Title / Lease ✓	Operational	This document confirms your business address and right to occupy the premises.
<input type="checkbox"/> Policy and Procedure Manual ✓	Operational	A document that details your business' policies and the procedures for following those policies.

View 25 Rows per page Showing 1 to 3 of 3 entries

## Questionnaires

# Ongoing Due Diligence

- Create and manage **Due Diligence Questionnaires**
- View questionnaire results for **individual** accounts or **consolidated** across your portfolio
- Assign **recurring** questionnaires when approving an account
- Create **alerts + reports** based on questionnaire data



The image displays three overlapping screenshots of the Green Check web application interface, illustrating the process of managing questionnaires.

**Top Screenshot: Settings - Questionnaires**

Name	Description	Frequency	Form Fields
Tier 1 CBills	Monthly sales and inventory attestation	Monthly	5
Regular Updates	Request for updated business details to keep records up-to-date	Annual	5
Financials	Request for quarterly balance sheet and income statement	Quarterly	5
Home - Testing	Monthly request for completed test results	Monthly	5

**Middle Screenshot: Regular Updates - Questionnaire Settings**

Questionnaire Settings: Request for updated business details to keep records up-to-date. Frequency: Annual. Edit

**Bottom Screenshot: Regular Updates - Add New Field**

Add New Field

Field Label:

Field Type:

Required:

Help Text:  (max. 200 characters)

Column Width:

Buttons: Create Questionnaire Service, Add New Field



Let's take a tour!